



## United States Patent and Trademark Office

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APPLICATION N	IO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/877,696		06/08/2001	Anthony F. Istvan	005217.P049	8903	
33318	7590	06/06/2006		EXAMINER		
DIGEO,			KOENIG, ANDREW Y			
8815 122ND NE KIRKLAND, WA 98033				ART UNIT PAPER NUMBER		
1111112	Hitteline, with your			2623		
			DATE MAILED: 06/06/2006			

Please find below and/or attached an Office communication concerning this application or proceeding.

## Advisory Action Before th Filing of an App al Bri f

Applicati n No.	Applicant(s)	
09/877,696	ISTVAN ET AL.	
Examin r	Art Unit	
Andrew Y. Koenig	2623	

	Andrew Y. Koenig	2623	
The MAILING DATE of this communicati n appe	ars nth cover sh et with the d	rrespondence add	ress
THE REPLY FILED <u>04 May 2006</u> FAILS TO PLACE THIS APPI			
1.  The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods:	ving replies: (1) an amendment, aff tice of Appeal (with appeal fee) in (	idavit, or other evider compliance with 37 C	nce, which FR 41.31; or (3)
a) $\square$ The period for reply expires $\underline{4}$ months from the mailing date	of the final rejection.		
b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire to Examiner Note: If box 1 is checked, check either box (a) or (TWO MONTHS OF THE FINAL REJECTION. See MPEP 70 Extensions of time may be obtained under 37 CFR 1.136(a). The date	ater than SIX MONTHS from the mailin (b). ONLY CHECK BOX (b) WHEN THE 06.07(f).	g date of the final rejecti E FIRST REPLY WAS F	on. ILED WITHIN
have been filed is the date for purposes of determining the period of extunder 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of extunder 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL	tension and the corresponding amount shortened statutory period for reply orig than three months after the mailing da	of the fee. The appropri	ate extension fee ce action; or (2) as
2. The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exter a Notice of Appeal has been filed, any reply must be filed AMENDMENTS	nsion thereof (37 CFR 41.37(e)), to	avoid dismissal of th	ns of the date of e appeal. Since
3. The proposed amendment(s) filed after a final rejection, l	but prior to the date of filing a brief	will not be entered by	acalise
(a) ☐ They raise new issues that would require further col	nsideration and/or search (see NO	TE below):	scause
(b) They raise the issue of new matter (see NOTE below	w);	•	
<ul><li>(c) They are not deemed to place the application in bet appeal; and/or</li></ul>	ter form for appeal by materially re	ducing or simplifying	the issues for
(d) They present additional claims without canceling a	corresponding number of finally rei	ected claims.	
NOTE: <u>See Continuation Sheet</u> . (See 37 CFR 1.1)			
4. The amendments are not in compliance with 37 CFR 1.12	• • • • • • • • • • • • • • • • • • • •	mpliant Amendment	(PTOL-324).
5. Applicant's reply has overcome the following rejection(s):		•	
<ol> <li>Newly proposed or amended claim(s) would be all non-allowable claim(s).</li> </ol>		timely filed amendme	nt canceling the
<ol> <li>For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is provided that the status of the claim(s) is (or will be) as follows:</li> </ol>	will not be entered, or b)    will will will will will will will	l be entered and an e	explanation of
Claim(s) allowed:			
Claim(s) objected to:			
Claim(s) rejected: Claim(s) withdrawn from consideration:			
AFFIDAVIT OR OTHER EVIDENCE			
8. The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).			
<ol> <li>The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to o showing a good and sufficient reasons why it is necessary</li> </ol>	vercome all rejections under appea	al and/or appellant fai	ls to provide a
<ol><li>The affidavit or other evidence is entered. An explanation</li></ol>			
REQUEST FOR RECONSIDERATION/OTHER  11. The request for reconsideration has been considered but See Continuation Sheet.	t does NOT place the application ir	n condition for allowar	nce because:
12. Note the attached Information Disclosure Statement(s).	PTO/SB/08 or PTO-1449) Paper N	lo(s)	
13.  Other:	/ my	<del>*</del> \	
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	AU 2623		

U.S. Patent and Trademark Office PTOL-303 (Rev. 7-05) Continuation of 3. NOTE: The proposed amendment to independent claim 1 would require further search and consideration.

Continuation of 11. does NOT place the application in condition for allowance because: Applicant's arguments filed 04 May 2006 have been fully considered but they are not persuasive.

The affidavit filed on 04 May 2006 under 37 CFR 1.131 has been considered but is ineffective to overcome the Zustak reference. The evidence submitted is insufficient to establish diligence from a date prior to the date of reduction to practice of the Zustak reference to either a constructive reduction to practice or an actual reduction to practice.

Specifically, the applicant has provided no evidence that the attorney was diligent in preparing and filing the instant patent application. In this case, the attorney alleges diligence in the declaration of Hirohisa Tachibana, and provides support relying on the copy of the assignee's docket report from the relevant period (see exhibit B). However, it is unclear to the examiner how the provided evidence of exhibit B establishes reasonable diligence during the continuous critical period. Further, there does not appear to be any evidence to support that the attorney takes up the applications in chronological order and carries them out expeditiously.

In the instant application, the applicant should provide evidence of attorney diligence for the 9 ½ month delay between August 21, 2000 until June 8, 2001.